

TCM Certified Development Company - SBA 504 Loan Application

**PERSONAL QUESTIONNAIRE**

*To be completed by each owner (100% ownership of both borrower and operating company must be represented).*

Name \_\_\_\_\_ Soc. Sec. # \_\_\_\_\_  
FIRST FULL MIDDLE MAIDEN (include date last used) LAST

Home Address \_\_\_\_\_  
STREET, CITY, STATE, ZIP

Phone # \_\_\_\_\_  
CELL BUSINESS RESIDENCE

Email Address \_\_\_\_\_

Place of Birth \_\_\_\_\_ Date of Birth \_\_\_\_\_  
CITY and STATE (or FOREIGN COUNTRY if outside the U.S.) MONTH, DAY, YEAR

U.S. Citizen? Yes  No  If No, USCIS Reg# \_\_\_\_\_ Country of Citizenship \_\_\_\_\_  
 If "No," please provide a copy of your Alien Registration Card (front and back). This information will be verified with U.S. Customs and Immigration Services (USCIS).

Spouse's Full Name \_\_\_\_\_ Soc. Sec. # \_\_\_\_\_  
FIRST FULL MIDDLE MAIDEN LAST

Name of RE holding company \_\_\_\_\_

Ownership \_\_\_\_\_ % Title/Role \_\_\_\_\_

Name of operating company \_\_\_\_\_

Ownership \_\_\_\_\_ % Title/Role \_\_\_\_\_

1. Have you served in the U.S. Military? Yes  No   
 If yes: Branch \_\_\_\_\_ From \_\_\_\_\_ To \_\_\_\_\_

Major Assignments \_\_\_\_\_

Rank at Discharge: \_\_\_\_\_ Discharge Type:  General  Honorable  Dishonorable

2. With which race do you most closely identify?  
 American Indian/Alaska Native  Black/African American  White/Caucasian  
 Asian  Native Hawaiian/Pacific Islander  Not Disclosed

3. Are you Hispanic or Latino?  
 Hispanic/Latino  Not Hispanic/Latino  Not Disclosed

*The SBA records data on age, sex, race and national origin. This information cannot be considered in connection with the decision to grant financial assistance. SBA must compile this data to have evidence of compliance with various anti-discrimination laws. SBA also must submit periodic reports to the Justice Department on our compliance statistics.*

**Credit Report & Background Check Authorization**

I hereby authorize TCM to request and review all data about me that is deemed to be appropriate, including credit reports from credit reporting agencies and background checks to search for prior criminal convictions, lawsuits, bankruptcies, and tax liens. I further authorize TCM to release such information to any other party deemed necessary for any purpose related to my credit application/transaction. I also authorize third parties, including credit reporting agencies, to release to TCM any and all information at any time for any purpose related to my credit application/transaction. I hereby certify that the enclosed information (together with any attachments or exhibits) is valid and true, accurate and correct to the best of my knowledge.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_



## PERSONAL QUESTIONNAIRE, Page 2

To be completed by each Associate of the Applicant, each owner ≥ 20%, guarantor, officer, director, managing member, trustor, or key employee of borrower and/or operating company.

Print Name \_\_\_\_\_

**Answers to these questions are verified by TCM and/or the U.S. Small Business Administration via a background check, thus failure to disclose all relevant information could result in delayed processing and/or loan application decline.**

4. Do you have an ownership interest in any other companies? Yes  No   
If yes, please submit two years of federal tax returns and/or accountant-prepared year-end financials.
5. Has a federal or state tax lien or civil judgment ever been filed against you or your businesses?  
Yes  No  If yes, please provide details.
6. Are you or any of your businesses delinquent on any Federal, State, and local taxes, including but not limited to income taxes, payroll taxes, real estate taxes and sales taxes? Yes  No  If yes, please provide details.
7. Have you or any of your companies ever been involved in bankruptcy or insolvency proceedings?  
Yes  No  If yes, please provide details.
8. Are you or any of your companies involved in any pending lawsuits (including divorce proceedings)?  
Yes  No  If yes, please provide details.
9. Have you or any of your companies ever defaulted on a Federal loan that resulted in a loss to the Federal government? (Not including student loans) Yes  No  If yes, please provide details.
10. Have you or any of your companies ever applied for or received an SBA loan? Yes  No   
If yes, provide loan authorization or other documents. If the loan has an outstanding balance, provide a debt schedule.  
**This includes SBA 7(a), 504, Express, PPP, EIDL, etc.**
11. Are you presently subject to an indictment, criminal information, arraignment, or other means by which formal criminal charges are brought in any jurisdiction? Yes  No
12. Have you been arrested in the last 6 months for any criminal offense? If yes, please provide relevant documents.  
Yes  No
13. For any criminal offense – other than a minor vehicle violation – have you ever:  
1) been convicted; 2) pleaded guilty; 3) pleaded nolo contendere; 4) been placed on pretrial diversion; or  
5) been placed on any form of parole or probation (including probation before judgment)?  
Yes  No  If yes, in which city/cities and state(s) did infraction(s) take place \_\_\_\_\_  
*If "Yes," furnish the dates, locations, fines, sentences, level of charge (whether misdemeanor or felony), dates of parole/probation, unpaid fines or penalties, name(s) under which charged, and any other pertinent information. This includes all criminal charges and convictions, regardless of remoteness in time, even if it was ultimately dismissed or expunged from your record. This answer is verified by TCM and/or the U.S. Small Business Administration via a background check, thus failure to disclose all offenses could result in delayed processing and/or a loan application decline.*
14. Are you currently on probation? Yes  No
15. Are you presently suspended, debarred, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal Department or agency? Yes  No
16. Are you obligated to pay child support? Yes  No  If yes, are your payments current? Yes  No  N/A

**If you answered "YES" to any of questions above, please provide details on a separate sheet of paper.**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

